

8P 2182



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PTO/SB/21 (6-98)  
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<h1>TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence after initial filing)</i></p>	Application Number	09/753,934
	Filing Date	01/03/2001
	First Named Inventor	David Allen Peterson
	Group Art Unit	2182
	Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number 068945.1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         Post Card IDS References       </div>
Remarks		<h2>RECEIVED</h2> <p>APR 23 2001</p> <p>Technology Center 2100</p>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John L. DeAngelis, Jr., Esquire/Reg. No. 30,622 Holland & Knight, LLP
Signature	
Date	April 16, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 04/16/2001			
Typed or printed name	Pamela A. D'Alessio		
Signature		Date	April 16, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Allen Peterson

Group Art Unit: 2182

Serial No. 09/753,934

Examiner: Not Assigned

Filed: 01/03/2001

For: Thin Client Computer Operating System



INFORMATION DISCLOSURE STATEMENT

- ☒ 1. Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to 1st Office Action)
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR  
1.97(d) (before issue fee payment)

Commissioner For Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance  
with 37 CFR 1.97 & 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each  
identified document and a translation or a concise explanation of each non-  
English language document is enclosed herewith.

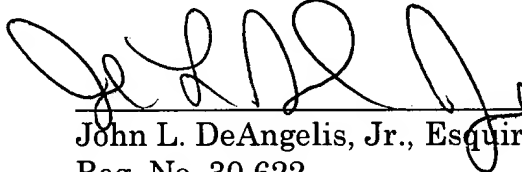
This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final office Action or Allowance, whichever is  
earlier; and
- ☐ 8. The required certification made in item 11 below; or
- ☐ 9. The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this  
Information Disclosure Statement is authorized in item 14 below.

- [ ] 10. 37 CFR §1.95(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_"), and
- b) The required Certification is stated in item 11 below.
- [ ] 11. Certification
- [ ] 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- or
- [ ] 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [ ] 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



John L. DeAngelis, Jr., Esquire

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#### CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Information Disclosure Statement is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 16 day of April, 2001.

  
Pamela A. D'Alessio